

# PROBLEM SOLVING AND SEARCH

## CHAPTER 3

## Example: Romania

On holiday in Romania; currently in Arad.

Flight leaves tomorrow from Bucharest

Formulate goal:

be in Bucharest

Formulate problem:

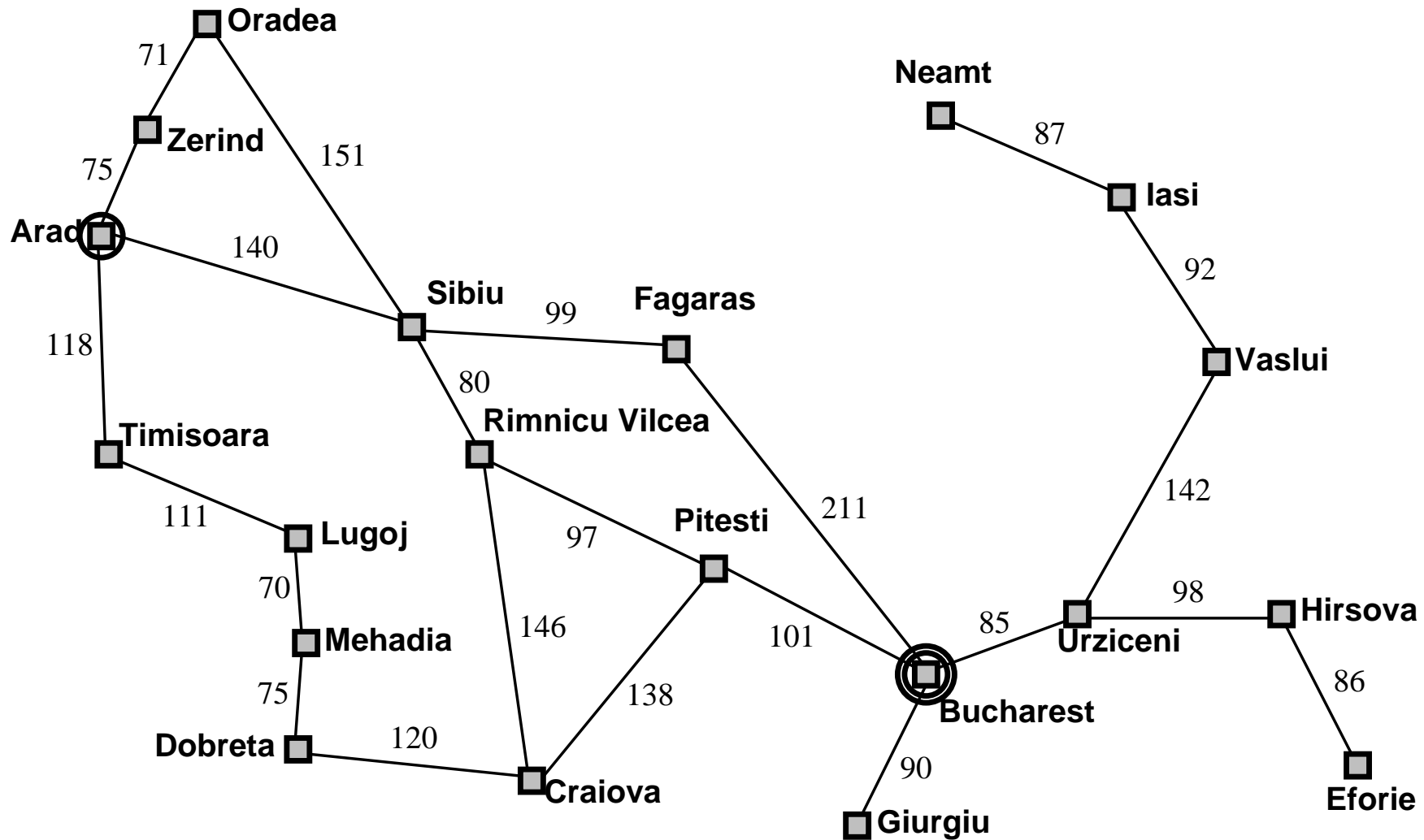
states: various cities

actions: drive between cities

Find solution:

sequence of cities, e.g., Arad, Sibiu, Fagaras, Bucharest

## Example: Romania



## Single-state problem formulation

A **problem** is defined by four items:

**initial state** e.g., “at Arad”

**successor function**  $S(x)$  = set of action–state pairs

e.g.,  $S(\text{Arad}) = \{\langle \text{Arad} \rightarrow \text{Zerind}, \text{Zerind} \rangle, \dots\}$

**goal test**, can be

**explicit**, e.g.,  $x = \text{“at Bucharest”}$

**implicit**, e.g.,  $\text{NoDirt}(x)$

**path cost** (additive)

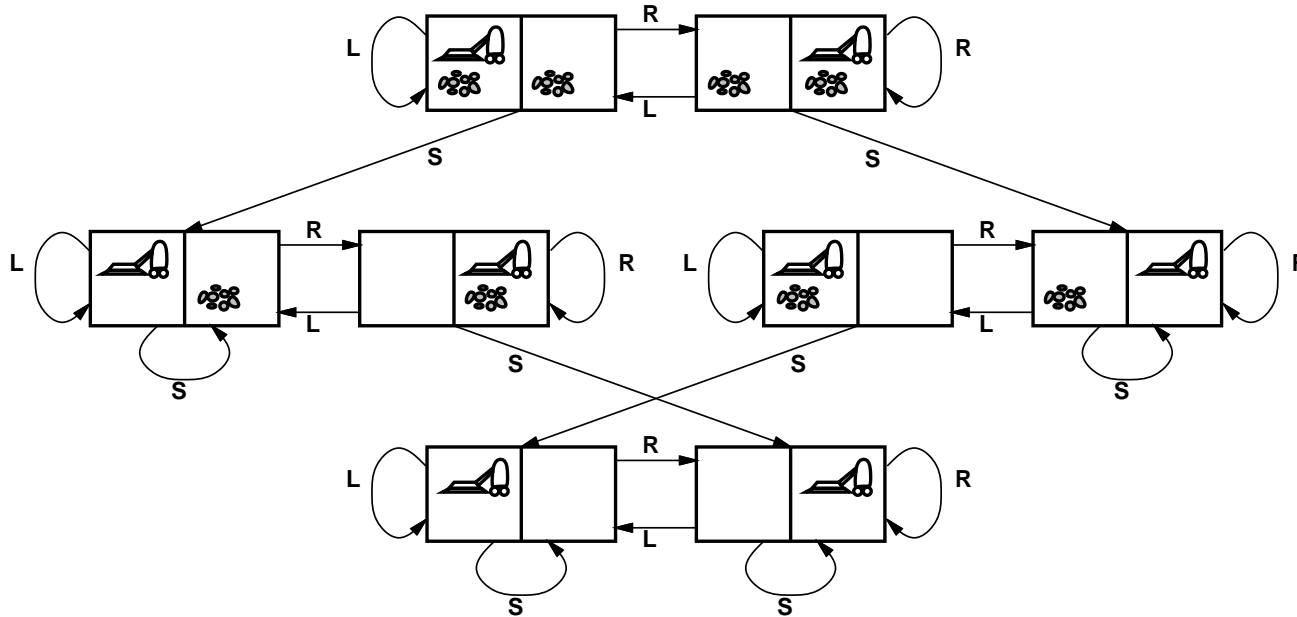
e.g., sum of distances, number of actions executed, etc.

$c(x, a, y)$  is the **step cost**, assumed to be  $\geq 0$

A **solution** is a sequence of actions

leading from the initial state to a goal state

## Example: vacuum world state space graph



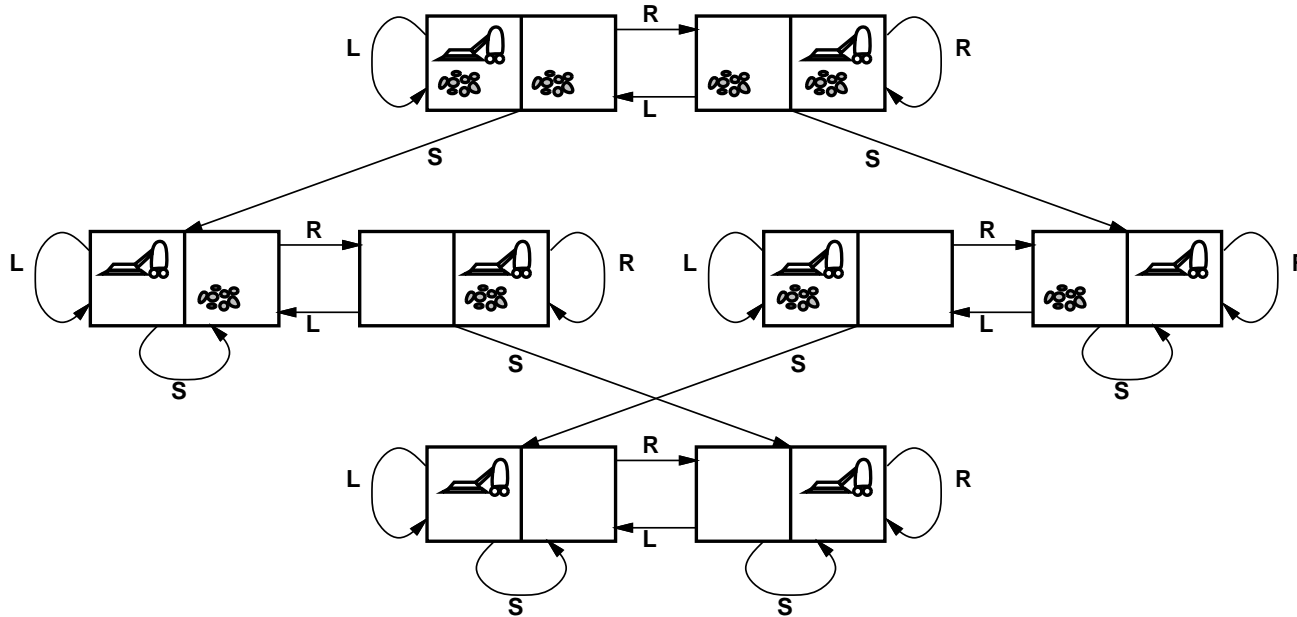
states??

actions??

goal test??

path cost??

## Example: vacuum world state space graph



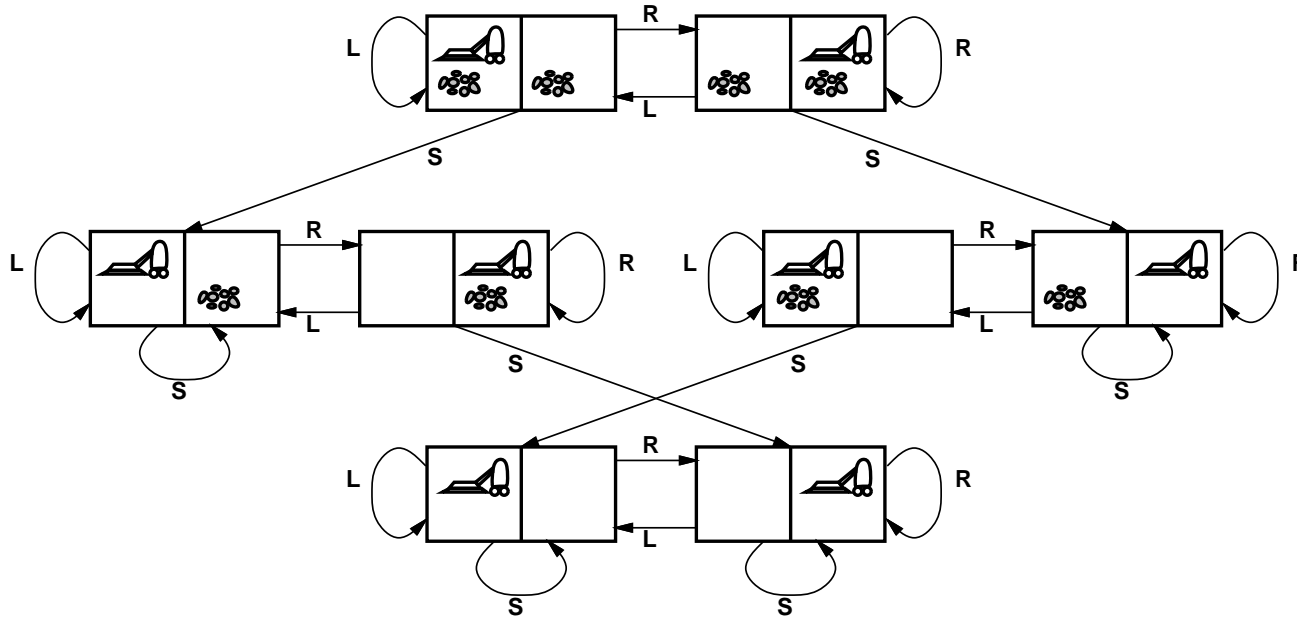
states??: integer dirt and robot locations (ignore dirt **amounts** etc.)

actions??

goal test??

path cost??

## Example: vacuum world state space graph



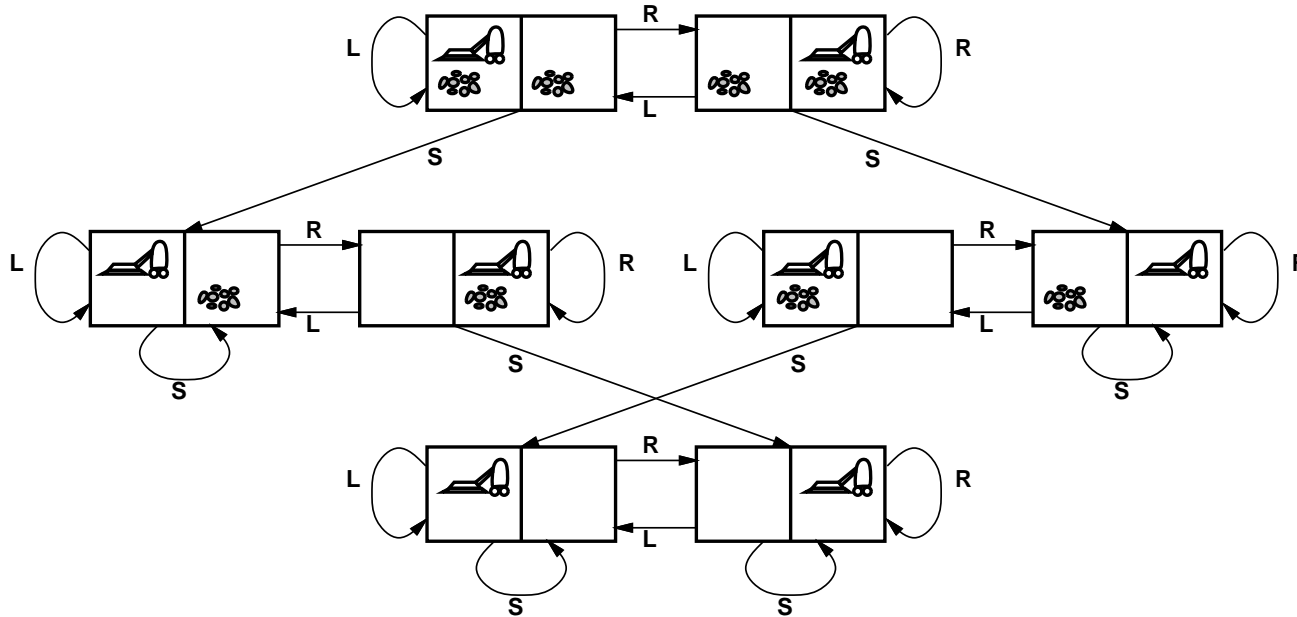
states??: integer dirt and robot locations (ignore dirt **amounts** etc.)

actions??: *Left, Right, Suck, NoOp*

goal test??

path cost??

## Example: vacuum world state space graph



states??: integer dirt and robot locations (ignore dirt **amounts** etc.)

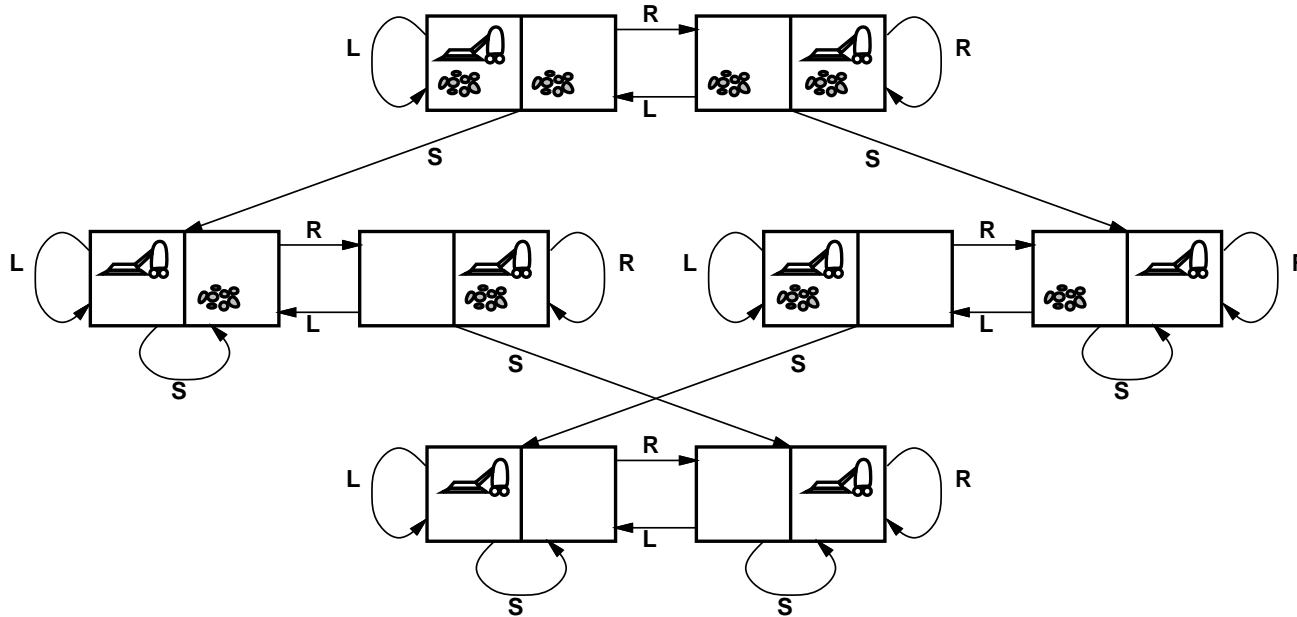
actions??: *Left, Right, Suck, NoOp*

goal test??: no dirt

path cost??



## Example: vacuum world state space graph



states??: integer dirt and robot locations (ignore dirt **amounts** etc.)

actions??: *Left, Right, Suck, NoOp*

goal test??: no dirt

path cost??: 1 per action (0 for *NoOp*)

## Example: The 8-puzzle

7	2	4
5		6
8	3	1

Start State

1	2	3
4	5	6
7	8	

Goal State

states??

actions??

goal test??

path cost??

## Example: The 8-puzzle

7	2	4
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Start State

1	2	3
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Goal State

states??: integer locations of tiles (ignore intermediate positions)

actions??

goal test??

path cost??

## Example: The 8-puzzle

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Goal State

states??: integer locations of tiles (ignore intermediate positions)

actions??: move blank left, right, up, down (ignore unjamming etc.)

goal test??

path cost??

## Example: The 8-puzzle

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Goal State

states??: integer locations of tiles (ignore intermediate positions)

actions??: move blank left, right, up, down (ignore unjamming etc.)

goal test??: = goal state (given)

path cost??

## Example: The 8-puzzle

7	2	4
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Start State

1	2	3
4	5	6
7	8	

Goal State

states??: integer locations of tiles (ignore intermediate positions)

actions??: move blank left, right, up, down (ignore unjamming etc.)

goal test??: = goal state (given)

path cost??: 1 per move

[Note: optimal solution of  $n$ -Puzzle family is NP-hard]

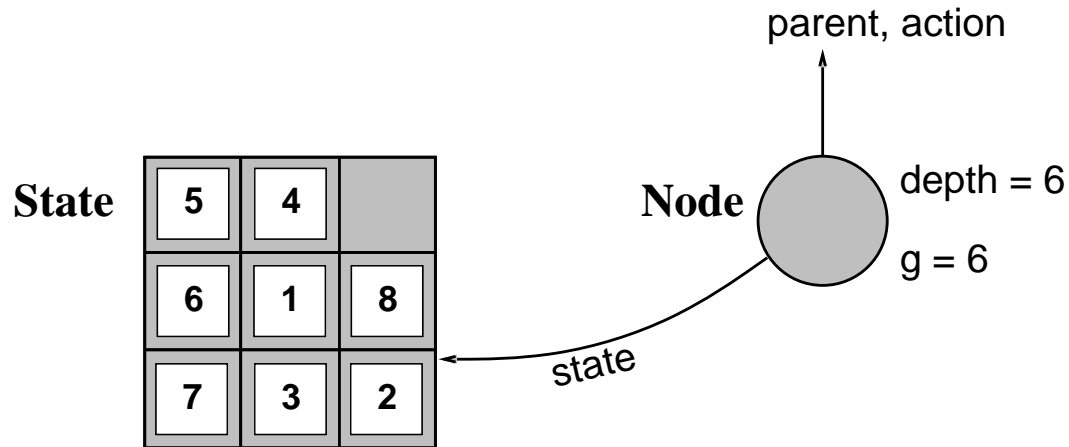
## Implementation: states vs. nodes

A **state** is a (representation of) a physical configuration

A **node** is a data structure constituting part of a search tree

includes **parent**, **children**, **depth**, **path cost**  $g(x)$

States do not have parents, children, depth, or path cost!



The EXPAND function creates new nodes, filling in the various fields and using the SUCCESSORFN of the problem to create the corresponding states.

## Implementation: general tree search

```
function TREE-SEARCH(problem, fringe) returns a solution, or failure
  fringe ← INSERT(MAKE-NODE(INITIAL-STATE[problem]), fringe)
  loop do
    if fringe is empty then return failure
    node ← REMOVE-FRONT(fringe)
    if GOAL-TEST(problem, STATE(node)) then return node
    fringe ← INSERTALL(EXPAND(node, problem), fringe)
```

---

```
function EXPAND(node, problem) returns a set of nodes
  successors ← the empty set
  for each action, result in SUCCESSOR-FN(problem, STATE[node]) do
    s ← a new NODE
    PARENT-NODE[s] ← node; ACTION[s] ← action; STATE[s] ← result
    PATH-COST[s] ← PATH-COST[node] + STEP-COST(node, action, s)
    DEPTH[s] ← DEPTH[node] + 1
    add s to successors
  return successors
```



## Search strategies

A strategy is defined by picking the **order of node expansion**

Strategies are evaluated along the following dimensions:

**completeness**—does it always find a solution if one exists?

**time complexity**—number of nodes generated/expanded

**space complexity**—maximum number of nodes in memory

**optimality**—does it always find a least-cost solution?

Time and space complexity are measured in terms of

$b$ —maximum branching factor of the search tree

$d$ —depth of the least-cost solution

$m$ —maximum depth of the state space (may be  $\infty$ )

## Uninformed search strategies

Uninformed strategies use only the information available in the problem definition

Breadth-first search

Uniform-cost search

Depth-first search

Depth-limited search

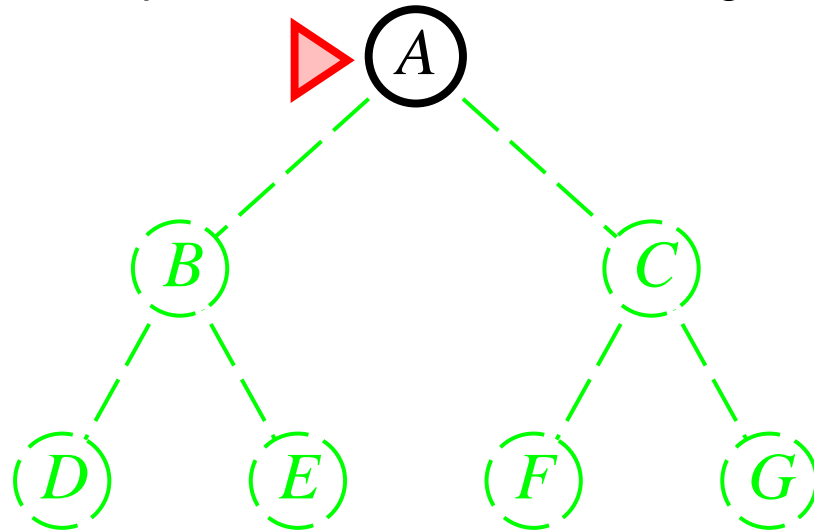
Iterative deepening search

## Breadth-first search

Expand shallowest unexpanded node

### Implementation:

*fringe* is a FIFO queue, i.e., new successors go at end

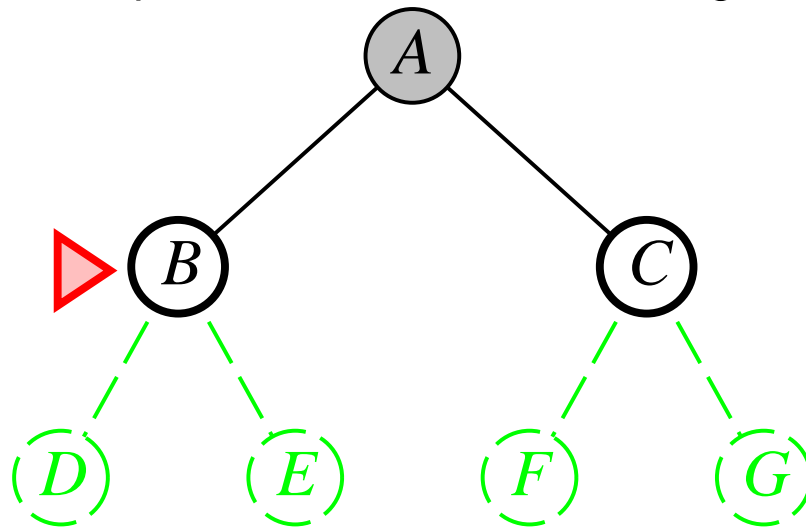


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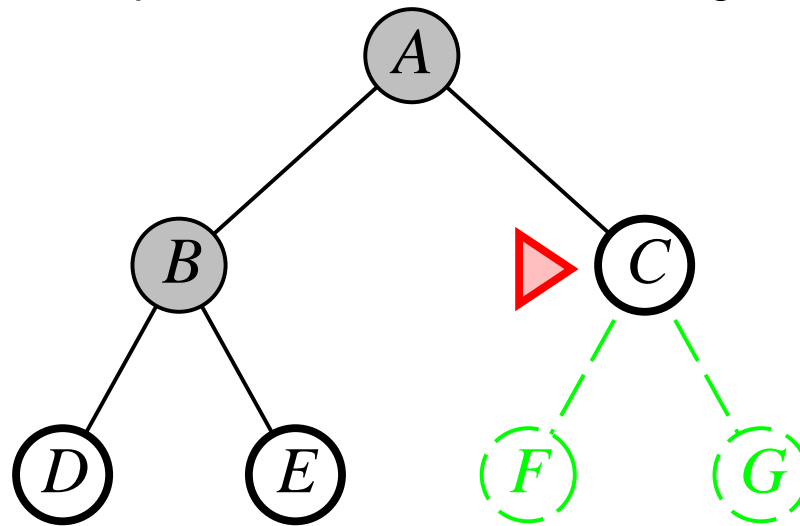


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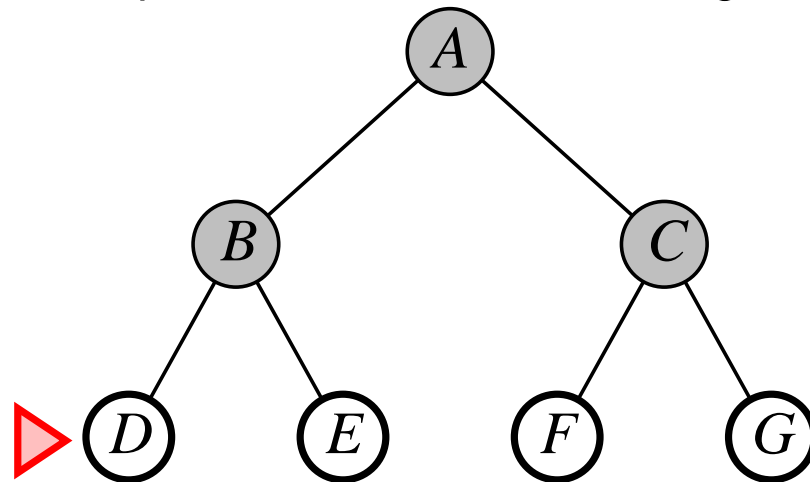


## Breadth-first search

Expand shallowest unexpanded node

**Implementation:**

*fringe* is a FIFO queue, i.e., new successors go at end



# Properties of breadth-first search

Complete??

## Properties of breadth-first search

Complete?? Yes (if  $b$  is finite)

Time??



## Properties of breadth-first search

Complete?? Yes (if  $b$  is finite)

Time??  $1 + b + b^2 + b^3 + \dots + b^d + b(b^d - 1) = O(b^{d+1})$ , i.e., exp. in  $d$

Space??

## Properties of breadth-first search

Complete?? Yes (if  $b$  is finite)

Time??  $1 + b + b^2 + b^3 + \dots + b^d + b(b^d - 1) = O(b^{d+1})$ , i.e., exp. in  $d$

Space??  $O(b^{d+1})$  (keeps every node in memory)

Optimal??

## Properties of breadth-first search

Complete?? Yes (if  $b$  is finite)

Time??  $1 + b + b^2 + b^3 + \dots + b^d + b(b^d - 1) = O(b^{d+1})$ , i.e., exp. in  $d$

Space??  $O(b^{d+1})$  (keeps every node in memory)

Optimal?? Yes (if cost = 1 per step); not optimal in general

**Space** is the big problem; can easily generate nodes at 100MB/sec  
so 24hrs = 8640GB.

## Uniform-cost search

Expand least-cost unexpanded node

### Implementation:

*fringe* = queue ordered by path cost, lowest first

Equivalent to breadth-first if step costs all equal

Complete?? Yes, if step cost  $\geq \epsilon$

Time?? # of nodes with  $g \leq$  cost of optimal solution,  $O(b^{\lceil C^*/\epsilon \rceil})$   
where  $C^*$  is the cost of the optimal solution

Space?? # of nodes with  $g \leq$  cost of optimal solution,  $O(b^{\lceil C^*/\epsilon \rceil})$

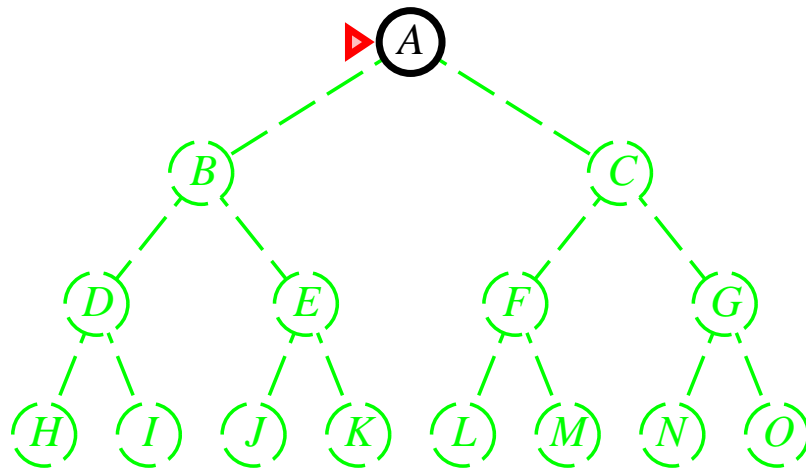
Optimal?? Yes—nodes expanded in increasing order of  $g(n)$

# Depth-first search

Expand deepest unexpanded node

**Implementation:**

*fringe* = LIFO queue, i.e., put successors at front

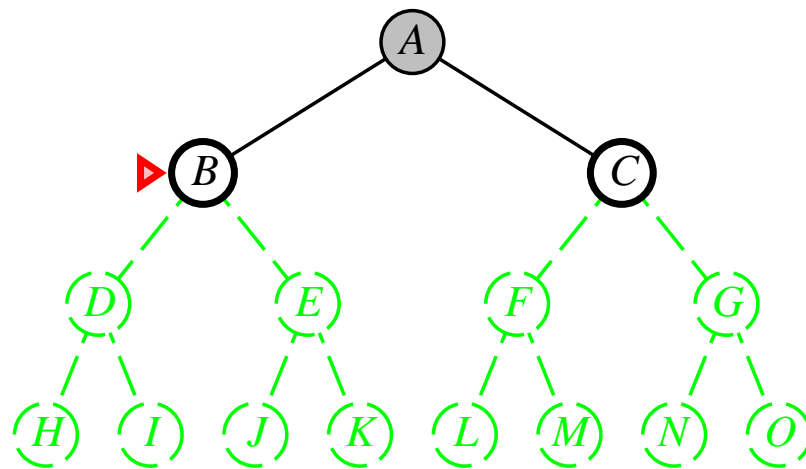


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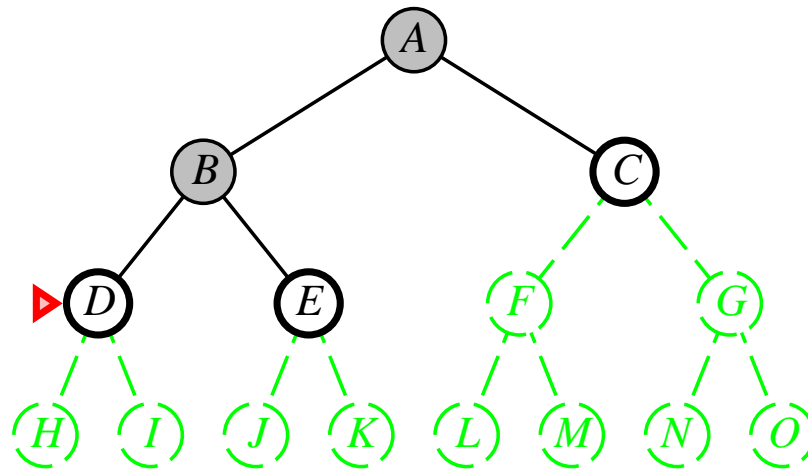


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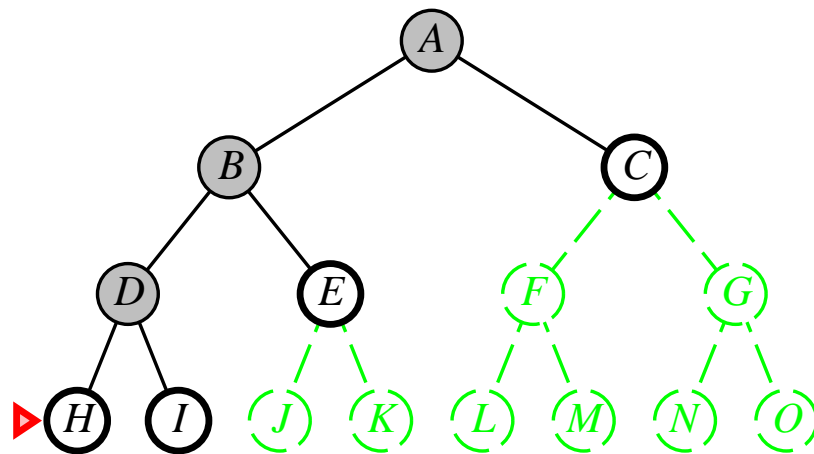


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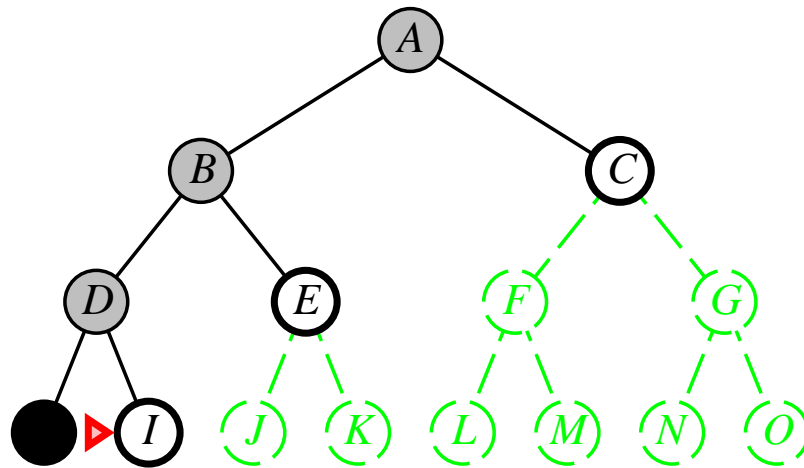


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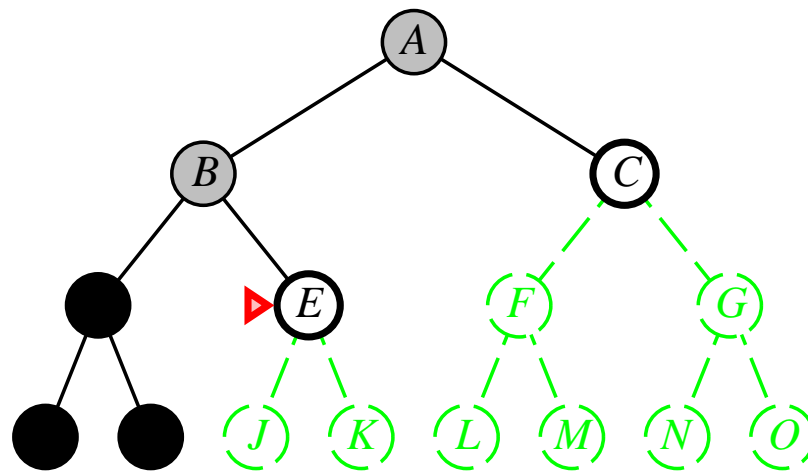


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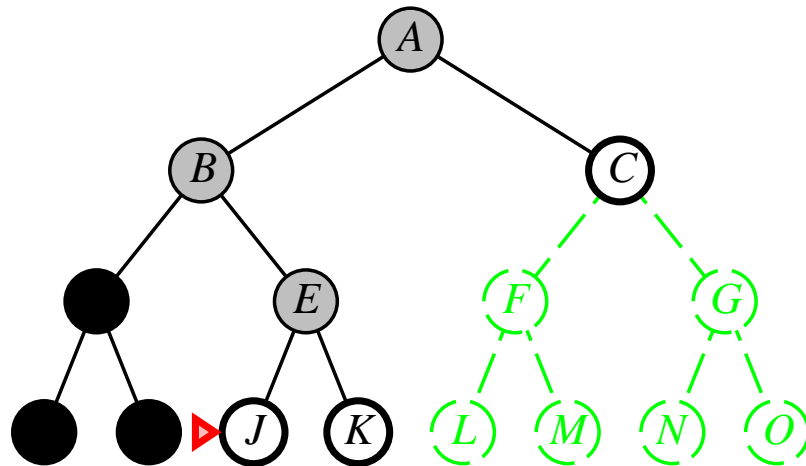


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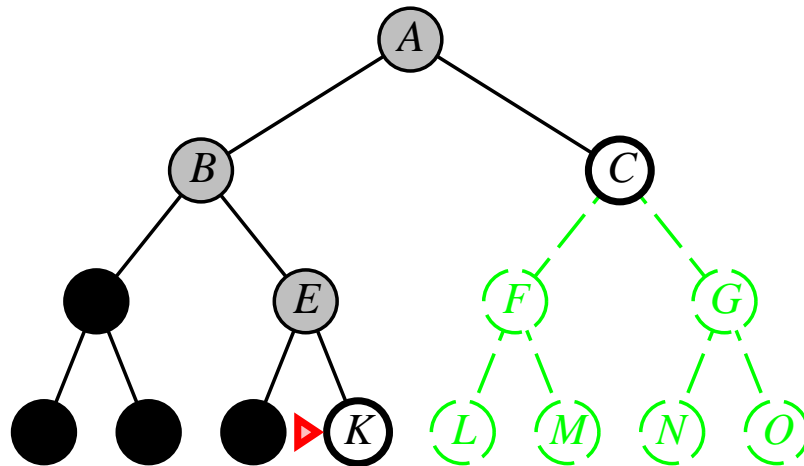


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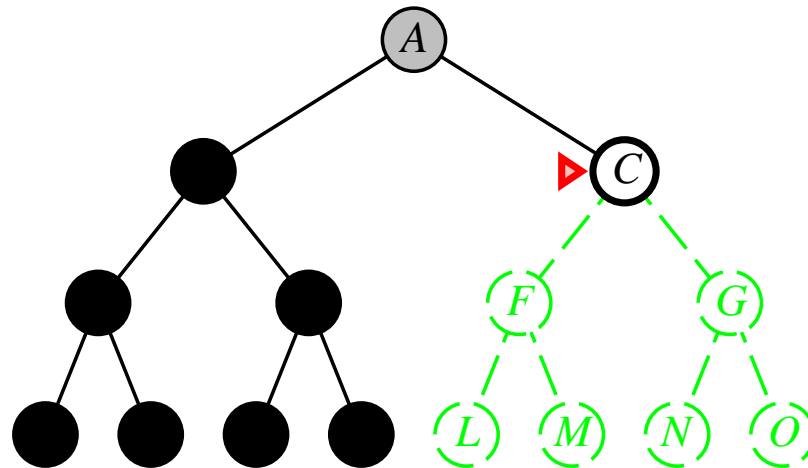


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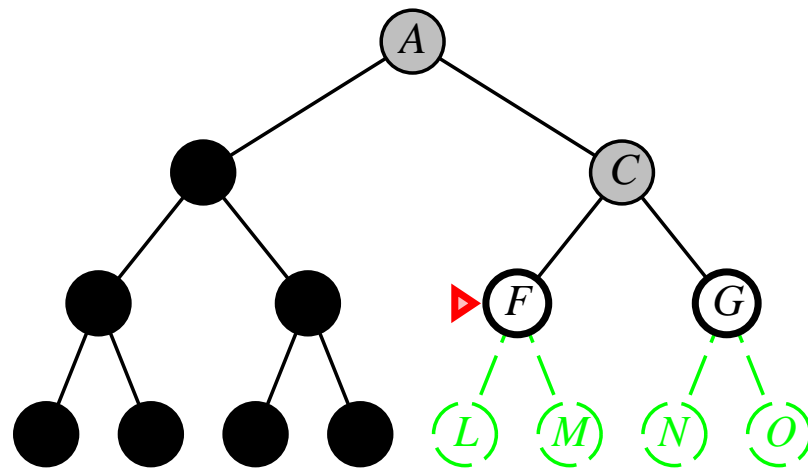


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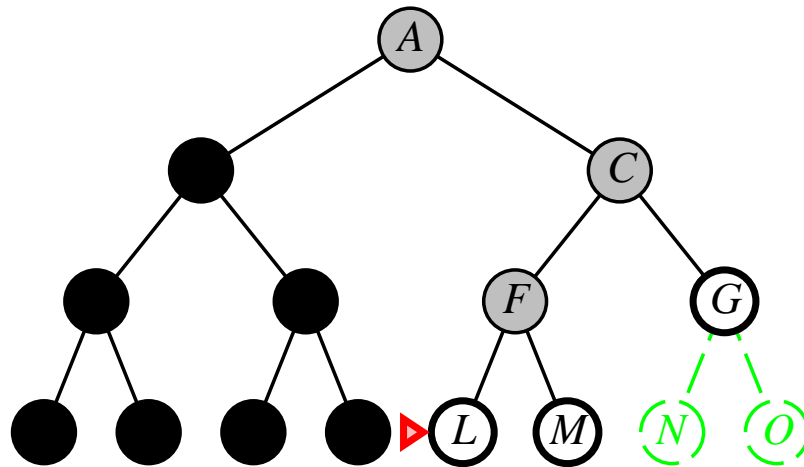


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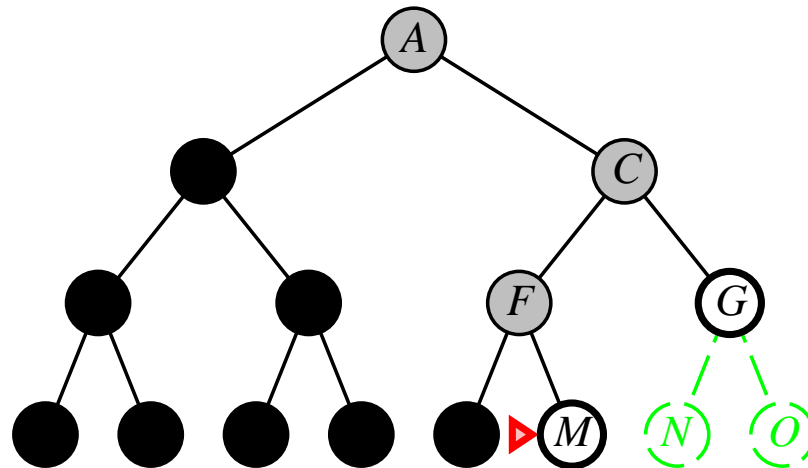


# Depth-first search

Expand deepest unexpanded node

**Implementation:**

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# Properties of depth-first search

Complete??

## Properties of depth-first search

Complete?? No: fails in infinite-depth spaces, spaces with loops

Modify to avoid repeated states along path

⇒ complete in finite spaces

Time??

## Properties of depth-first search

Complete?? No: fails in infinite-depth spaces, spaces with loops

Modify to avoid repeated states along path

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Time??  $O(b^m)$ : terrible if  $m$  is much larger than  $d$   
but if solutions are dense, may be much faster than breadth-first

Space??

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Time??  $O(b^m)$ : terrible if  $m$  is much larger than  $d$

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Space??  $O(bm)$ , i.e., linear space!

Optimal??

## Properties of depth-first search

Complete?? No: fails in infinite-depth spaces, spaces with loops

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Time??  $O(b^m)$ : terrible if  $m$  is much larger than  $d$   
but if solutions are dense, may be much faster than breadth-first

Space??  $O(bm)$ , i.e., linear space!

Optimal?? No

## Depth-limited search

= depth-first search with depth limit  $l$ ,  
i.e., nodes at depth  $l$  have no successors

### Recursive implementation:

```
function DEPTH-LIMITED-SEARCH(problem, limit) returns soln/fail/cutoff
    RECURSIVE-DLS(MAKE-NODE(INITIAL-STATE[problem]), problem, limit)

function RECURSIVE-DLS(node, problem, limit) returns soln/fail/cutoff
    cutoff-occurred?  $\leftarrow$  false
    if GOAL-TEST(problem, STATE[node]) then return node
    else if DEPTH[node] = limit then return cutoff
    else for each successor in EXPAND(node, problem) do
        result  $\leftarrow$  RECURSIVE-DLS(successor, problem, limit)
        if result = cutoff then cutoff-occurred?  $\leftarrow$  true
        else if result  $\neq$  failure then return result
    if cutoff-occurred? then return cutoff else return failure
```

## Iterative deepening search

```
function ITERATIVE-DEEPENING-SEARCH(problem) returns a solution
  inputs: problem, a problem
  for depth  $\leftarrow$  0 to  $\infty$  do
    result  $\leftarrow$  DEPTH-LIMITED-SEARCH(problem, depth)
    if result  $\neq$  cutoff then return result
end
```

# Iterative deepening search $l = 0$

Limit = 0





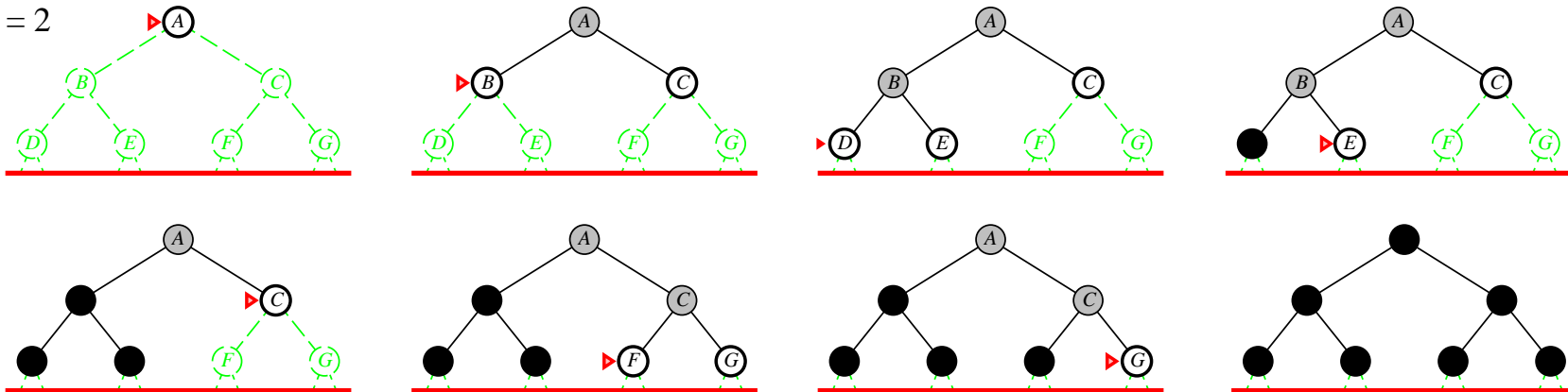
# Iterative deepening search $l = 1$

Limit = 1



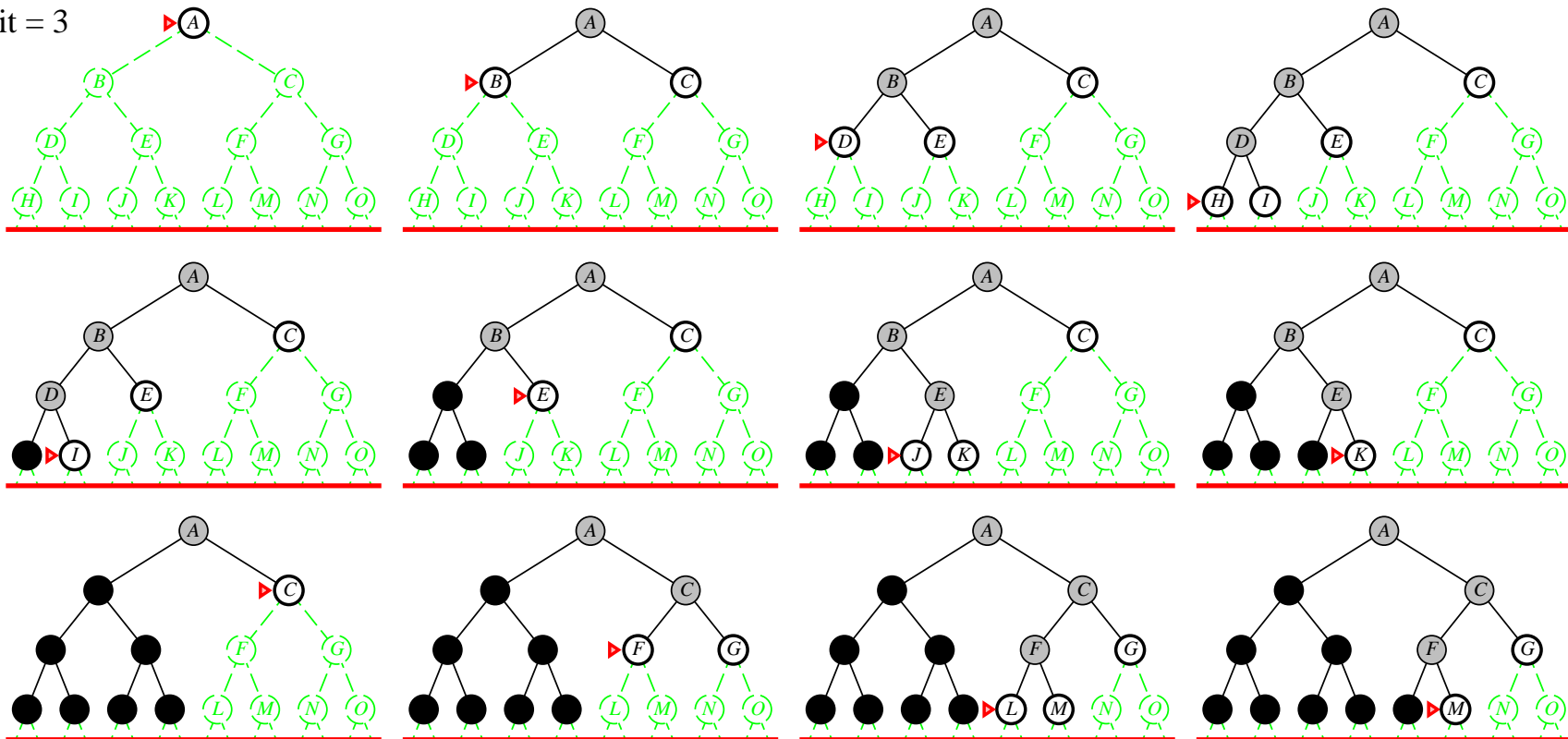
# Iterative deepening search $l = 2$

Limit = 2



# Iterative deepening search $l = 3$

Limit = 3



# Properties of iterative deepening search

Complete??

## Properties of iterative deepening search

Complete?? Yes

Time??

## Properties of iterative deepening search

Complete?? Yes

Time??  $(d + 1)b^0 + db^1 + (d - 1)b^2 + \dots + b^d = O(b^d)$

Space??

## Properties of iterative deepening search

Complete?? Yes

Time??  $(d + 1)b^0 + db^1 + (d - 1)b^2 + \dots + b^d = O(b^d)$

Space??  $O(bd)$

Optimal??

## Properties of iterative deepening search

Complete?? Yes

Time??  $(d + 1)b^0 + db^1 + (d - 1)b^2 + \dots + b^d = O(b^d)$

Space??  $O(bd)$

Optimal?? Yes, if step cost = 1

Can be modified to explore uniform-cost tree

Numerical comparison for  $b = 10$  and  $d = 5$ , solution at far right leaf:

$$N(\text{IDS}) = 50 + 400 + 3,000 + 20,000 + 100,000 = 123,450$$

$$N(\text{BFS}) = 10 + 100 + 1,000 + 10,000 + 100,000 + 999,990 = 1,111,100$$

IDS does better because other nodes at depth  $d$  are not expanded

BFS can be modified to apply goal test when a node is **generated**



## Summary of algorithms

Criterion	Breadth- First	Uniform- Cost	Depth- First	Depth- Limited	Iterative Deepening
Complete?	Yes*	Yes*	No	Yes, if $l \geq d$	Yes
Time	$b^{d+1}$	$b^{\lceil C^*/\epsilon \rceil}$	$b^m$	$b^l$	$b^d$
Space	$b^{d+1}$	$b^{\lceil C^*/\epsilon \rceil}$	$bm$	$bl$	$bd$
Optimal?	Yes*	Yes	No	No	Yes*

## Best-first search

**Idea:** use an **evaluation function** for each node  
– estimate of “desirability”

⇒ Expand most desirable unexpanded node

**Implementation:**

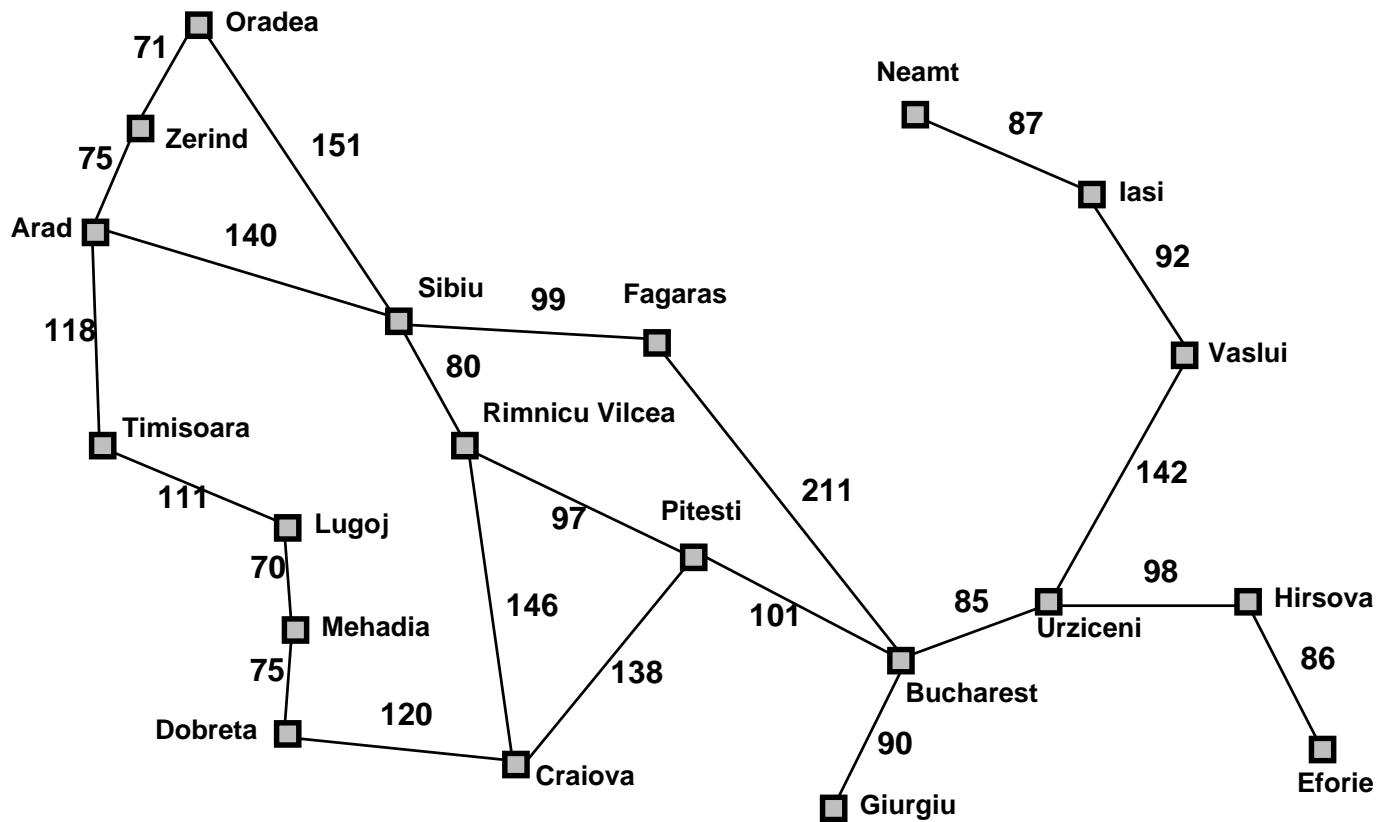
*fringe* is a queue sorted in decreasing order of desirability

Special cases:

greedy search

A\* search

# Romania with step costs in km



Straight-line distance  
to Bucharest

<b>Arad</b>	366
<b>Bucharest</b>	0
<b>Craiova</b>	160
<b>Dobreta</b>	242
<b>Eforie</b>	161
<b>Fagaras</b>	178
<b>Giurgiu</b>	77
<b>Hirsova</b>	151
<b>Iasi</b>	226
<b>Lugoj</b>	244
<b>Mehadia</b>	241
<b>Neamt</b>	234
<b>Oradea</b>	380
<b>Pitesti</b>	98
<b>Rimnicu Vilcea</b>	193
<b>Sibiu</b>	253
<b>Timisoara</b>	329
<b>Urziceni</b>	80
<b>Vaslui</b>	199
<b>Zerind</b>	374

## Greedy search

Evaluation function  $h(n)$  (**h**euristic)

= estimate of cost from  $n$  to the closest goal

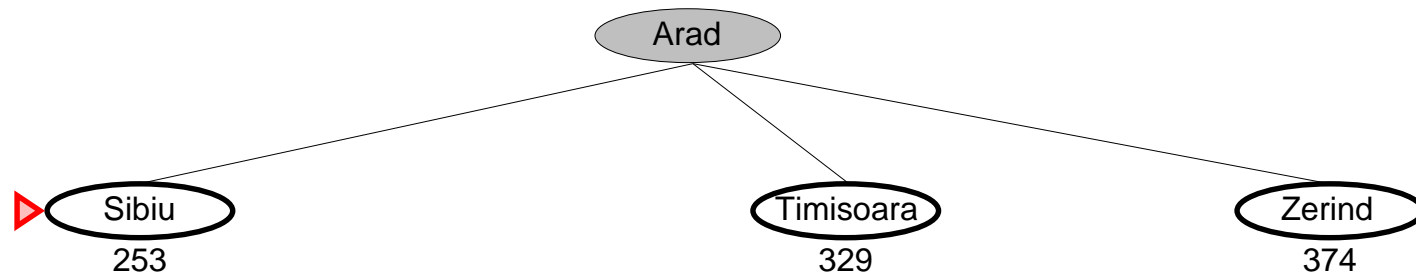
E.g.,  $h_{\text{SLD}}(n)$  = straight-line distance from  $n$  to Bucharest

Greedy search expands the node that **appears** to be closest to goal

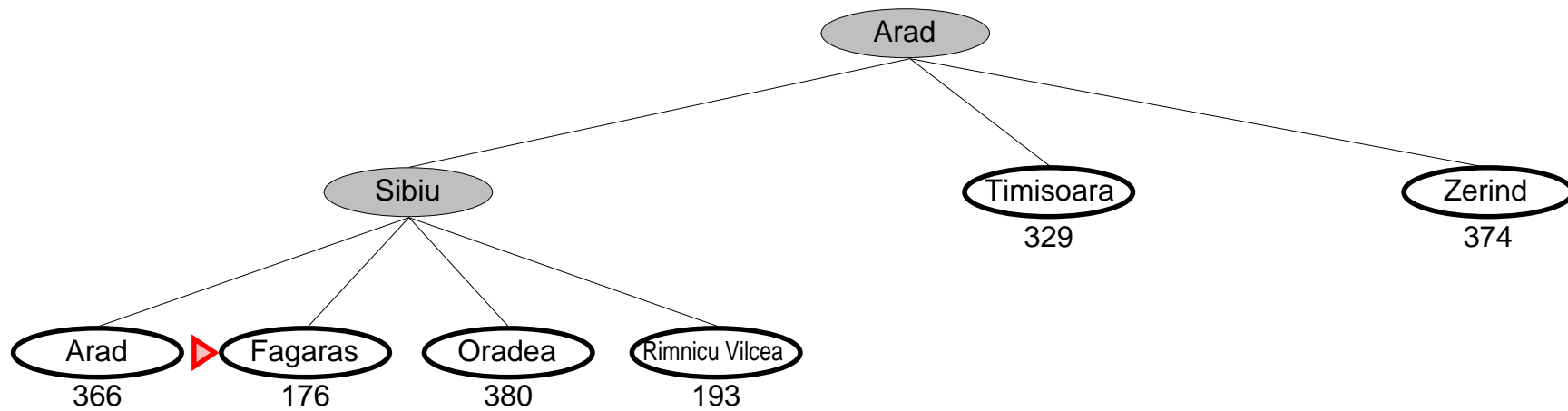
## Greedy search example

▶ Arad  
366

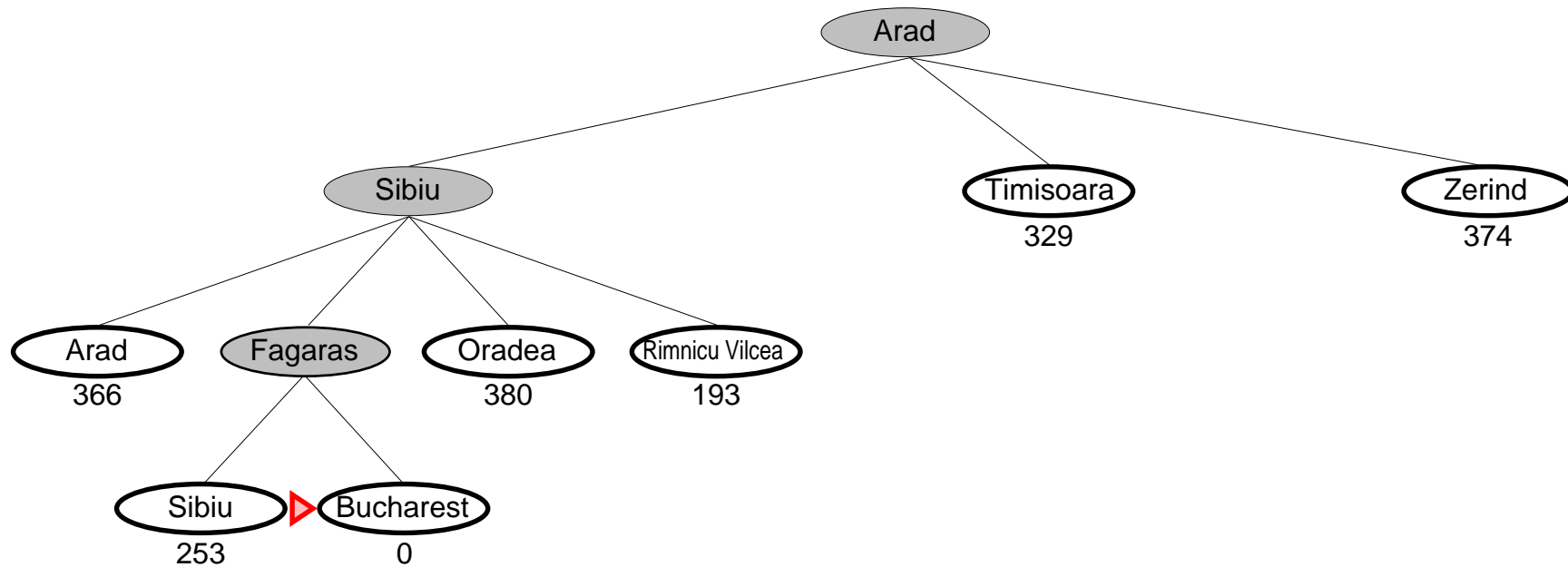
## Greedy search example



# Greedy search example



# Greedy search example





# Properties of greedy search

Complete??

## Properties of greedy search

Complete?? No—can get stuck in loops, e.g., with Oradea as goal,

Iasi  $\rightarrow$  Neamt  $\rightarrow$  Iasi  $\rightarrow$  Neamt  $\rightarrow$

Complete in finite space with repeated-state checking

Time??

## Properties of greedy search

Complete?? No—can get stuck in loops, e.g.,

lasi  $\rightarrow$  Neamt  $\rightarrow$  lasi  $\rightarrow$  Neamt  $\rightarrow$

Complete in finite space with repeated-state checking

Time??  $O(b^m)$ , but a good heuristic can give dramatic improvement

Space??

## Properties of greedy search

Complete?? No—can get stuck in loops, e.g.,

lasi  $\rightarrow$  Neamt  $\rightarrow$  lasi  $\rightarrow$  Neamt  $\rightarrow$

Complete in finite space with repeated-state checking

Time??  $O(b^m)$ , but a good heuristic can give dramatic improvement

Space??  $O(b^m)$ —keeps all nodes in memory

Optimal??

## Properties of greedy search

Complete?? No—can get stuck in loops, e.g.,

lasi  $\rightarrow$  Neamt  $\rightarrow$  lasi  $\rightarrow$  Neamt  $\rightarrow$

Complete in finite space with repeated-state checking

Time??  $O(b^m)$ , but a good heuristic can give dramatic improvement

Space??  $O(b^m)$ —keeps all nodes in memory

Optimal?? No

## A\* search

Idea: avoid expanding paths that are already expensive

Evaluation function  $f(n) = g(n) + h(n)$

$g(n)$  = cost so far to reach  $n$

$h(n)$  = estimated cost to goal from  $n$

$f(n)$  = estimated total cost of path through  $n$  to goal

A\* search uses an **admissible** heuristic

i.e.,  $h(n) \leq h^*(n)$  where  $h^*(n)$  is the **true** cost from  $n$ .

(Also require  $h(n) \geq 0$ , so  $h(G) = 0$  for any goal  $G$ .)

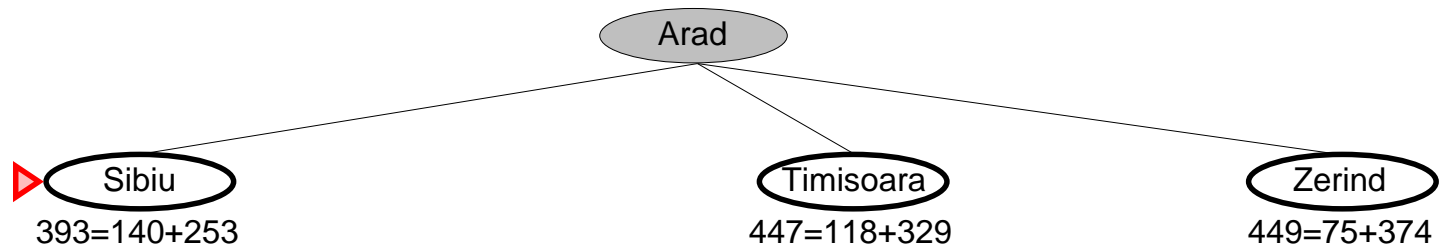
E.g.,  $h_{\text{SLD}}(n)$  never overestimates the actual road distance

**Theorem:** A\* search is optimal

## $A^*$ search example

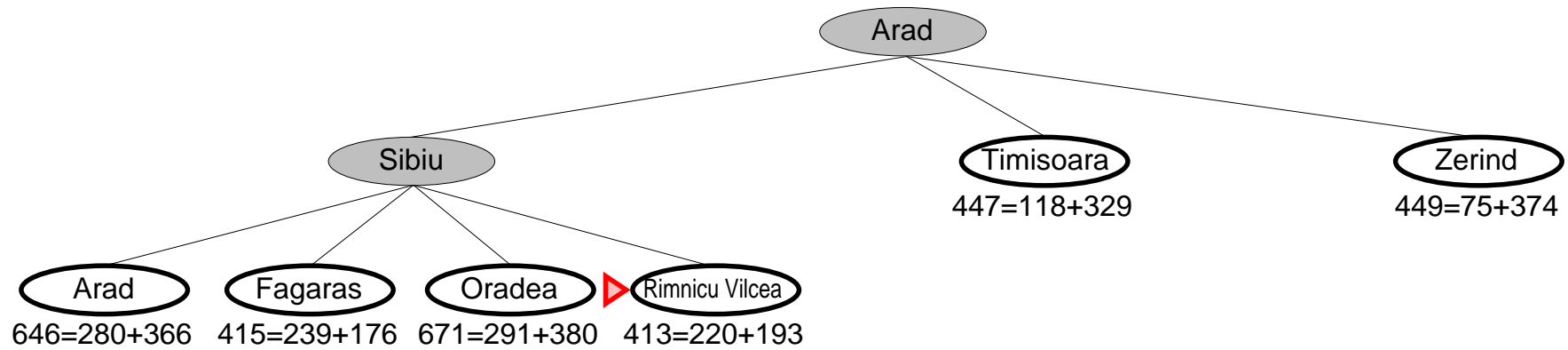
▶ Arad  
 $366 = 0 + 366$

## A\* search example

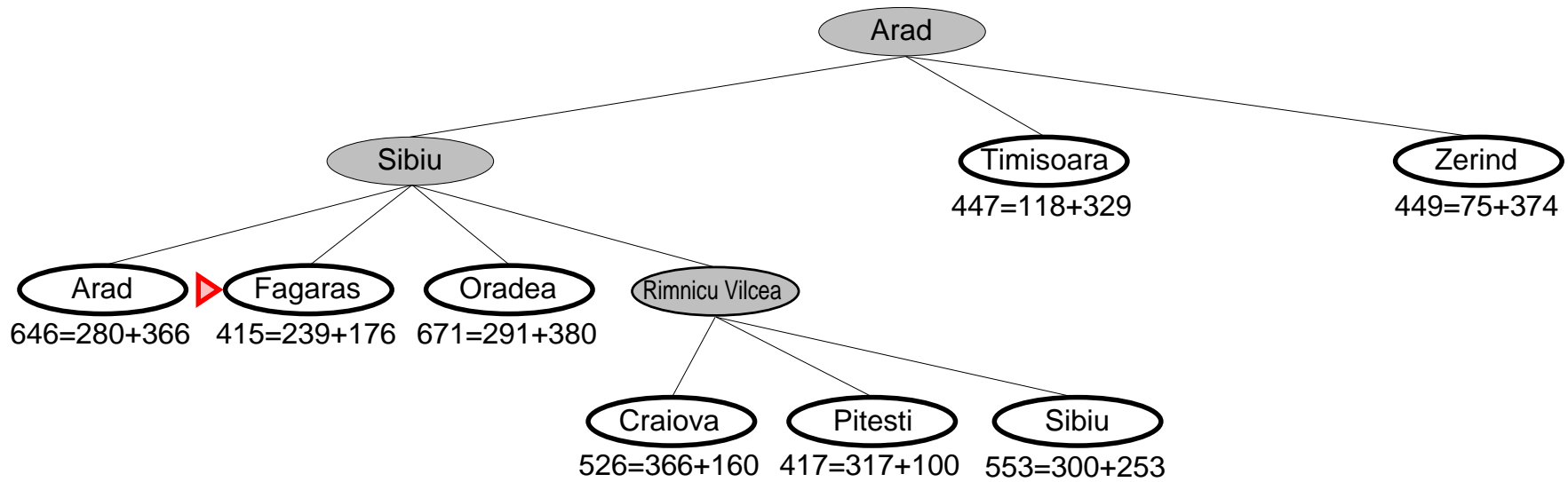




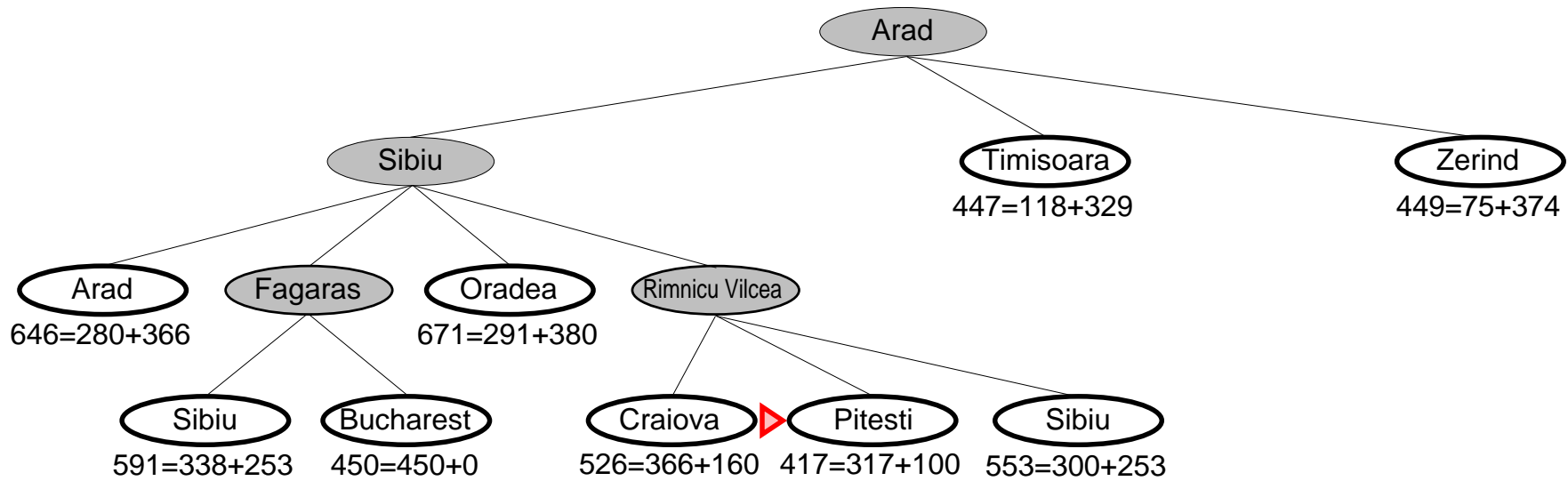
## A\* search example



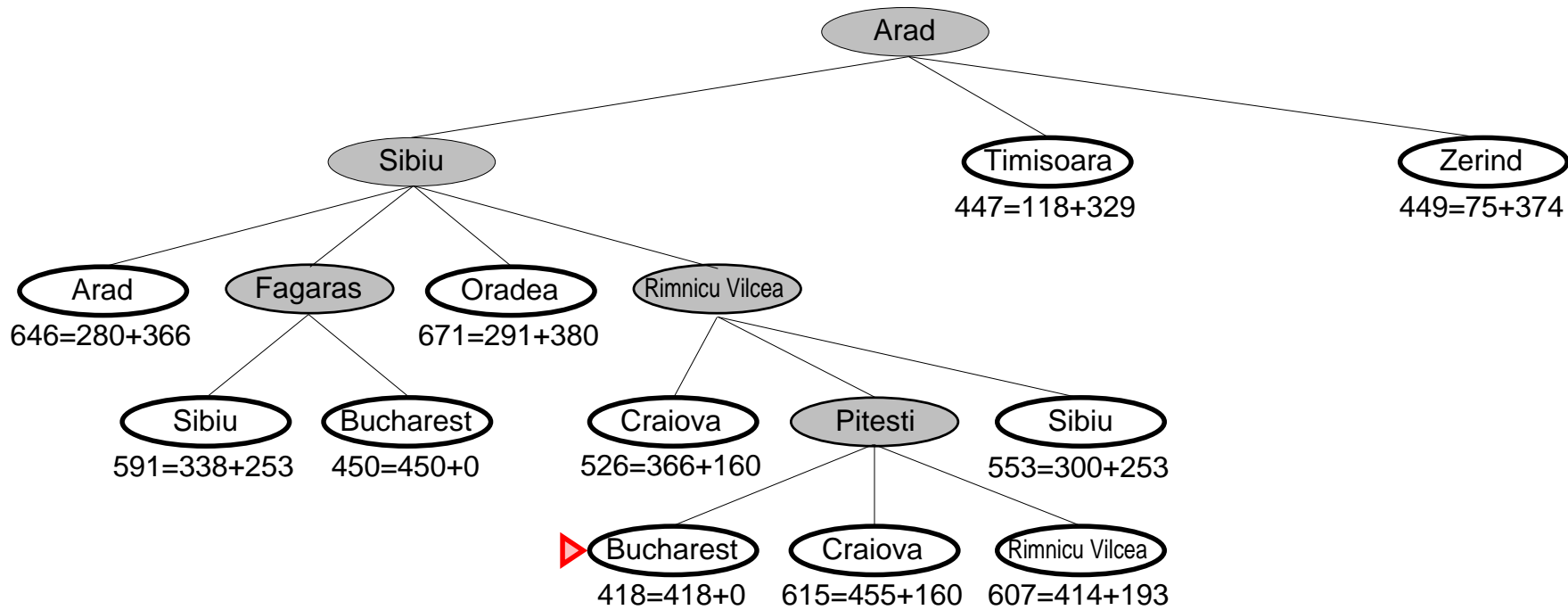
## A\* search example



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# Properties of $A^*$

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Complete?? Yes, unless there are infinitely many nodes with  $f \leq f(G)$

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Space?? Keeps all nodes in memory

Optimal??



## Properties of $A^*$

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Time?? Exponential in [relative error in  $h \times$  length of soln.]

Space?? Keeps all nodes in memory

Optimal?? Yes—cannot expand  $f_{i+1}$  until  $f_i$  is finished

$A^*$  expands all nodes with  $f(n) < C^*$

$A^*$  expands some nodes with  $f(n) = C^*$

$A^*$  expands no nodes with  $f(n) > C^*$